

**Roles of Medial Prefrontal Cortex and Orbitofrontal Cortex in
Self-Evaluation**

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| Journal: | <i>Journal of Cognitive Neuroscience</i> |
| Manuscript ID: | JOCN-2009-0032.R1 |
| Manuscript Type: | Original |
| Date Submitted by the Author: | |
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| Keywords: | Self, Social Cognition, Functional MRI |
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ABSTRACT

Empirical investigations of the relation of frontal lobe function to self-evaluation have mostly examined the evaluation of abstract qualities in relation to self versus other people. The present research furthers our understanding of frontal lobe involvement in self-evaluation by examining two processes that have not been widely studied by neuroscientists: online self-evaluations and the correction of systematic judgment errors that influence self-evaluation. Although people evaluate their abstract qualities, it is equally important that perform online evaluations in order to assess the success of their behavior in a particular situation. Additionally, self-evaluations of task performance are sometimes overconfident because of systematic judgment errors. What role do the neural regions associated with abstract self-evaluations and decision bias play in online evaluation and self-evaluation bias? In this fMRI study, self-evaluation in two reasoning tasks were examined; one elicited overconfident self-evaluations of performance because of salient but misleading aspects of the task and the other was free from misleading aspects. Medial prefrontal cortex, a region associated with self-referential processing, was generally involved in online self-evaluations but not specific to accurate or overconfident evaluation. Orbitofrontal cortex activity, a region associated with accurate non-social judgment, negatively predicted individual differences in overconfidence and was negative associated with confidence level for incorrect trials.

INTRODUCTION

The frontal lobes have long been theorized to play an important role in self-evaluation (Stuss & Benson, 1984) but diverse empirical research has been slower to follow. Currently, neural research on the self has mostly focused on the interplay between neural systems that support self-evaluation in relation to evaluation of other people (for a review see Ochsner et al., 2005; Uddin et al., 2007). This research has shown that medial prefrontal cortex is robustly related to semantic knowledge about the self (Ochsner et al., 2005; Uddin et al., 2007, Kelley et al., 2002). These studies provide an important foundation of knowledge; an important next step is to expand the paradigms and psychological mechanisms that are included in neural research on the self (Beer, 2007).

For example, what is the psychological mechanism through which the medial prefrontal cortex supports self-evaluation? One predominant explanation is that medial prefrontal cortex supports the representation or access to internal cues that are only available for one's own mental states which play a fundamental part in self-evaluations (Kelley et al., 2002; Ochsner et al., 2005) and may also be used in evaluating other people (Mitchell, Macrae, & Banaji, 2006; Ochsner et al., 2005). Most of the current studies have focused on evaluation of abstract information about the self (e.g., the descriptiveness of personality traits). Social psychological models of self-evaluation, particularly those focused on self-regulation, emphasize that another important self-evaluative process is evaluating one's performance in the moment (Baumeister & Heatheron, 1996). Although people might have an abstract representation of whether they are good at problem solving, self-evaluation also occurs when people evaluate their confidence in their ability to reason through a particular problem in a specific situation. In this way, online self-evaluation involves evaluating the self's actions, behaviors, and abilities in the moment rather

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3 than abstract representations of the self's qualities. In both cases the self is being evaluated, but
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5 the evaluation may be focused on thinking about the self in general versus an "online" evaluation
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7 of the self in the moment. Although the two types of self-evaluation can be distinguished, it is
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9 likely that they may share commonalities and interact. As mentioned above, neural studies of self-
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11 evaluation of abstract qualities suggest that these evaluations involve weighting the strength of
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13 internal associations. Similarly, people may monitor internal cues to assess their online
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15 performance. In this way, the two processes may be computed in a similar manner. Additionally,
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17 if someone has to evaluate themselves in the moment but the environment does not provide
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19 feedback, they might reference their abstract self-representations ("Am I generally good at this
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21 kind of task?). A large body of research on the self-reference effect has established that medial
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23 prefrontal cortex is associated with self-evaluations of abstract qualities (Kelley et al., 2002;
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25 Ochsner et al., 2005). Does the medial prefrontal cortex also support online self-evaluation such
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27 as evaluating the self's performance on a specific task?
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34 A second line of inquiry is examining how neural regions associated with self-evaluation
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36 are (or are not) involved in the biases that are known to characterize self-evaluation.
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38 Understanding the neural systems involved in self-evaluation biases and their correction is
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40 important because accurate online self-evaluation is helpful for successful self-regulation
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42 (Baumeister & Heatherton, 1996; Beer, 2007). For self-regulation purposes, individuals compare
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44 their estimations of their online behavior to goals and expectations. Discrepancies may motivate
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46 an adjustment of behavior or expectations of the self. However, inaccurate self-evaluation is
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48 commonly observed in healthy populations (Taylor & Brown, 1988; Tversky & Kahneman,
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50 1974; Klayman, 1995). In the extreme, gross discrepancies between one's self-perception and
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52 one's actual behavior is a hallmark of a number of disorders (e.g., Steele et al. 2006; Volkow et
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3 al., 1991) which has important implications for understanding treatment seeking and compliance
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5 (e.g., Aleman et al., 2006; Sanz et al., 1998). Still, very little is understood about how neural
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7 recruitment in healthy populations and neural impairments in disordered populations might relate
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9 to self-evaluation biases and their correction.
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13 Inaccuracies in self-evaluation are known to arise for a number of reasons. For example,
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15 behavioral research has shown that people are unrealistically positive about the social desirability
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17 of their general personal characteristics; they claim high rates of positive personal characteristics
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19 and low rates of negative personal characteristics in order to maintain self-worth (Taylor &
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21 Brown, 1988). This type of self-evaluation bias has been examined through the comparison of
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23 self-judgments of positive characteristics to negative characteristics and is associated with
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25 ventral anterior cingulate cortex activity (e.g., Moran et al., 2006; Sharot et al., 2007).
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30 Although overconfident assessments may sometimes occur as a self-esteem defense
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32 (e.g., Taylor & Brown, 1988), they are not always driven by emotion-regulation processes.
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34 Furthermore, overconfidence is not specific to evaluations of abstract characteristics of the self.
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36 Decades of behavioral research have shown that overconfident self-evaluations in relation to on-
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38 line behavior, such as task performance, occur in conditions where people assess themselves
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40 using information that is limited or irrelevant for evaluating their performance (e.g., for a review
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42 see Tversky & Kahneman, 1974; Klayman, 1995). For example, people are likely to perform
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44 equally well when reasoning about forced choice options in a number of domains (e.g., about
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46 64% correct for reasoning about cities with higher average temperatures in July or which states
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48 have more of their population below the poverty line). However, people tend to be overconfident
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50 about their performance on some reasoning tasks (estimate 79% correct for temperature) in
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52 comparison to more accurate estimations for performance on other reasoning tasks (estimate
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3 63% correct for poverty level) (Klayman, Soll, Gonzalez-Vallejo, & Barlas, 1999). In contrast to
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5 claims about positive versus negative personal characteristics, people do not claim to reason
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7 better in certain domains to bolster their self-esteem (i.e., reasoning better about temperature than
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9 poverty does not boost self-esteem). Instead, people reason using different kinds of information
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11 to answer questions in each domain and these different approaches lead to different confidence
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13 estimates. In both cases, participants do not know the exact average temperature in July for most
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15 cities or the exact percentage of each state's population below the poverty. Therefore, this task
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17 does not measure evaluations of confidence in one's ability to retrieve or remember information
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19 they have directly learned. Instead, participants have to draw on whatever information they deem
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21 helpful for reasoning through the forced-choice options. Information that appears relevant for
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23 reasoning about the temperature questions is perceived as more readily available (e.g.,
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25 geographical location of the cities, whether the city attracts tourists, etc.) than for the poverty
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27 questions. As in many other domains of judgment, available information often gets
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29 overemphasized when judging one's performance on a task and leads to overconfidence
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31 (Tversky & Kahneman, 1974). In other words, self-evaluations of performance in the domains of
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33 temperature and state poverty levels are proxies for two kinds of self-evaluations—self-
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35 evaluations in which participants erroneously believe their reasoning performance is bolstered by
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37 an increased presence of retrievable facts and self-evaluations of reasoning ability in a context
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39 where facts may not seem as salient. Participants tend to systematically boost their confidence
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41 estimates because they believe the presence of the easily available information strengths their
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43 performance compared to reasoning in a domain that does not lend itself to easily available
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45 sources of information. However, as mentioned above, participants make a systematic judgment
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3 error by overemphasizing the importance of their retrieved information because performance
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5 does not significantly differ across the reasoning tasks (Klayman et al., 1999).
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8 What neural regions might be expected to mediate biased self-evaluation that may arise
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10 from systematic judgment errors? Very little is known about the neural mechanisms of self-
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12 perceptual biases or accuracy (Beer, 2007). The relation between medial prefrontal cortex and
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14 abstract self-evaluation suggests that this region may be important for mediating overconfidence.
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16 For example, when people are asked to evaluate their online behavior but do not feel the
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18 situation provides enough information, they may draw on how they generally view themselves to
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20 estimate their online behavior. For example, if a person is trying to ascertain how they are doing
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22 on a task but receiving no feedback, he might draw on his general representation of his abilities
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24 to make the online evaluation. Overconfidence may be avoided when abstract self-
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26 representations are used for the online evaluation because the very process of having to look
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28 outside the situation for information about the self should lower confidence. Other research
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30 indicates that the orbitofrontal cortex might be involved in avoiding overconfident bias. Patients
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32 with selective orbitofrontal damage are overconfident in their assessment of their social
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34 competence in comparison to healthy control participants and patients with lateral frontal
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36 damage (Beer et al., 2006). Therefore, overconfident self-views may be associated with a failure
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38 or suppression of orbitofrontal cortex recruitment.
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45 The present research examines neural activity in relation to two understudied processes:
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47 online self-evaluation and self-evaluation bias. Participants reasoned about forced-choice options
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49 in two domains (temperatures and poverty levels). After reasoning about each forced choice pair,
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51 participants rated their confidence that their reasoning resulted in a correct response. Previous
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53 research has shown that there are not significant differences in performance across domains but
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3 one domain (Temperature) is associated with overconfident self-evaluations whereas self-
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5 evaluations for reasoning success in the other domain (Poverty) tend to be more accurate
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7 (Klayman et al., 1999). Therefore, this paradigm is useful for examining neural processes
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9 associated with making general online self-evaluations as well as biased online self-evaluations.
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11 The neural activity associated with making online self-evaluation was examined through a
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13 conjunctive analysis of significant activation across confidence estimates for both reasoning
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15 tasks. If medial prefrontal cortex is associated with online self-evaluation, then it should show
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17 significant change across confidence estimates. Overconfident self-perception was examined by
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19 comparing the condition of overconfident self-perception to the condition of relatively more
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21 accurate self-perception. If medial prefrontal cortex mediates self-evaluation bias, then it should
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23 be significantly related to overconfident self-beliefs when compared to accurate self-beliefs.
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25 Alternatively, overconfident self-evaluation may reflect a failure to recruit orbitofrontal cortex.
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28 29 30 31 MATERIALS AND METHODS

32 33 34 Participants

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36 16 right-handed participants (9 female, M age = 21.7 years, SD = 5.3 years) were
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38 recruited in compliance with the University of California, Davis human subjects regulations and
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40 were compensated \$10/hour for their participation. All participants were screened for
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42 medications or psychological and/or neurological conditions that might influence the
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44 measurement of cerebral blood flow.
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47 48 Behavioral paradigm

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50 Participants made self-evaluations of their reasoning ability in a reasoning task used in
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52 previous research (Klayman et al., 1999). On each trial, participants had to reason through a
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54 forced-choice problem and then rate their confidence in their reasoning. As in previous research,
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3 participants did not know the exact value of each forced-choice option but had to reason about
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5 which option was most likely (Tversky & Kahneman, 1974; Klayman, 1995). Based on previous
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7 research and pilot testing, two reasoning domains were selected that were similar in difficulty but
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9 differed in their elicitation of overconfident compared to accurate self-evaluations of reasoning
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11 ability: Temperature (average July city temperatures) and Poverty (percentage of state population
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13 below poverty level) (Klayman et al., 1999). Pilot-testing showed that our population of
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15 participants did not know exact average July temperatures of various cities nor did they know
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17 exact percentages of state populations under the poverty level. Instead, participants used
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19 whatever information they could to reason about which city might have a higher average July
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21 temperature or which state might have more people at the poverty level. As expected,
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23 participants tended to assume that they were more successful at reasoning about the Temperature
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25 questions because they found it easier to retrieve information they believed to be relevant for that
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27 task (i.e., geographical location, tourist attractions, etc) whereas relevant sources of information
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29 felt less available for the Poverty questions.
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37 In each trial, participants were first presented with forced-choice options from either the
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39 Temperature or Poverty condition for 4000ms. In the Temperature condition, participants were
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41 asked, “Which of these tourist cities had a warmer daily high temperature in July, on average?”
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43 and used a button box to indicate their choice from two options (e.g., Seoul, Athens). In the
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45 Poverty condition, participants were asked, “Which of these states had a higher percentage of its
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47 population below the federal poverty line in 2003?” and given two U.S. states to choose from
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49 (e.g., Kansas, Montana). After making a choice, participants were presented with a fixation
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51 screen indicating that they should clear their minds. These fixation screens were jittered with
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53 lengths of 2 seconds (50%), 4 seconds (25%), or 6 seconds (25%). The duration of the fixation
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3 point screens were jittered so that activity in relation to the question and the confidence estimate
4 could be analyzed independently (Donaldson et al., 2001). Participants were then presented with
5 a Confidence Estimate screen (2000ms) that asked “How confident are you that you chose the
6 correct answer?” and provided response options in 5% increments from 50% (chance) to 95%.
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8 Participants responded using button boxes (each hand had a 5-button box). Increments from 50%
9 to 95% were used because participants only had access to 10 buttons and pilot testing showed
10 that participants rarely used the 100% option but did make use of the 50% chance option. The
11 Confidence Estimate screen was followed by a fixation screen that was randomly jittered in the
12 same manner as the first fixation screens. Participants were not given feedback on whether their
13 answer was correct. Participants completed 5 runs each consisting of 25 trials of each of the
14 Temperature and Poverty conditions (125 trials for each condition total). The Temperature and
15 Poverty trials were randomly intermixed within a run and runs lasted about 10 minutes and 52
16 seconds.

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18 For all runs, stimuli were projected onto a screen mounted on the bed of the scanner.
19 Participants’ head motion was limited using foam padding. Stimulus presentation and response
20 collection was controlled by the program Presentation running on a Windows 98 Computer.

21 MRI Data Acquisition

22 All images were collected on a 1.5-T GE Signa scanner at the University of California,
23 Davis, Imaging Research Center. Functional images were acquired with a gradient echo EPI
24 sequence (TR = 2000ms, TE = 40 ms, FOV=220, 64 x 64 matrix, voxel size 3.444 x 3.44 x
25 5mm) with each volume consisting of 24 oblique axial slices which were tilted -15 degrees from
26 the AC-PC line to preserve whole brain coverage while optimizing coverage of the orbitofrontal
27 cortex. Both coplanar and high resolution T1-weighted images were also acquired from each
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3 subject so that data could be normalized to the Montreal Neurological Institute (MNI) atlas
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5 space. Structural and coplanar images were normalized to the T1 templates and the parameters
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7 from the coplanar normalization were used to normalize the functional images. The
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9 normalization algorithm used a 12-parameter affine transformation together with a nonlinear
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11 transformation involving cosine basis functions, and resampled the volumes to 2-mm cubic
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13 voxels.
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16 17 MRI Data Analysis

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19 All statistical analyses were conducted using SPM2 (Wellcome Department of Cognitive
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21 Neurology). Functional images were reconstructed from k -space using a linear time-
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23 interpolation algorithm to double the effective sampling rate. Image volumes were corrected for
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25 slice-timing skew using temporal sinc-interpolation, corrected for movement using rigid-body
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27 transformation parameters, and then smoothed with an 8-mm FWHM Gaussian kernel. To
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29 remove drifts within sessions, a high-pass filter with a cutoff period of 128 seconds was applied.
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34 A fixed-effects analysis was used to model event-related responses for each participant.
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36 The model examined responses related to reasoning (2; Poverty, Temperature), confidence
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38 estimate (4: Poverty Confidence Estimate for Incorrect Judgments, Poverty Confidence Estimate
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40 for Correct Judgments, Temperature Confidence Estimate for Correct Judgments, Temperature
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42 Confidence Estimate for Incorrect Judgments), and parametric modulation of the 4 Confidence
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44 Estimate regressors. Regressors were modeled as events with a canonical hemodynamic response
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46 function with a temporal derivative. The fixation screens in between the reasoning and
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48 confidence estimate probes were entered as a covariate of no interest in order to avoid possible
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50 confounds from subjects thinking about either the reasoning question they had just completed or
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52 the upcoming confidence estimate. The fixation screens following the confidence estimates were
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3 used as an estimate of baseline. A general linear model analysis was then used to create contrast
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5 images for each participant summarizing differences of interest.
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8 Contrasts from each participant were used in a second-level analyses treating participants
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10 as a random effects. Group average SPM{t} maps were created to contrast the (1) Poverty
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12 Confidence Estimate condition (collapsed across incorrect and correct) and the (2) Temperature
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14 Confidence Estimate Condition (collapsed across incorrect and correct) with the baseline
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16 condition and were thresholded at $p < 0.005$ with an extent threshold of 15 voxels. These maps
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18 were used in further analysis in two ways. First, a conjunction analysis using the Minimum
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20 Statistic compared to the Conjunction Null (MS/CN: Nichols et al., 2005) was conducted to
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22 examine neural commonalities across confidence estimates. In particular, it was predicted that a
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24 region of the medial prefrontal cortex associated with self-referential processing might be
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26 associated with Confidence Estimates across reasoning task conditions. Previous studies of self-
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28 reference have found that differences in medial prefrontal cortex usually reflect differences in
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30 deactivation relative to baseline (rather than differential activation) (e.g., Kelley et al., 2002;
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32 Macrae et al., 2004; Moran et al., 2006). It should be noted that the region of medial prefrontal
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34 cortex found in these studies of self-referential personality trait judgments is distinct from the
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36 dorsal region of medial prefrontal cortex discussed in relation to default self-referential mode
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38 models of brain activation (e.g., Gusnard & Raichle, 2001). Based on the work on by Kelley et
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40 al., (2002) and others, the conjunction analysis examined common voxels of activation as well as
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42 medial prefrontal cortex deactivation generally associated with Confidence Estimates across the
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44 Temperature and Poverty conditions. In other words, this analysis was performed by computing
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46 the intersection of the maps of significant activity associated with the “Temperature Confidence
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48 Estimate > Baseline” contrast and the “Poverty Confidence Estimate > Baseline” contrast.
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Second, the group average SPM{t} maps that directly contrasted the Temperature Confidence Estimate (2:Incorrect, Correct) and Poverty Confidence Estimate (2: Incorrect, Correct) conditions only considered areas that were significantly activated above baseline or the hypothesized medial prefrontal cortex deactivation below baseline for both or one of the Confidence Estimate conditions. Results from parametric modulation of Confidence Estimates were restricted to neural regions that differentiated Confidence Estimates across conditions. As above, maps were thresholded at $p < 0.005$ with an extent threshold of 15 voxels. Masking and ROI parameter estimates were computed using the Marsbar toolbox (Brett et al., 2002). Maxima are reported in ICMB152 coordinates as in SPM2. Finally, group average SPM{t} maps were created to contrast the (1) Poverty reasoning condition and the (2) Temperature reasoning conditions and were thresholded at $p < 0.005$ with an extent threshold of 15 voxels. This analysis examined differences in neural activity associated with performing the different reasoning tasks.

31 RESULTS

32 Behavioral performance comparable across domains but overconfidence is domain specific

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Consistent with previous research, participants were overconfident in their assessments of their reasoning performance in the Temperature condition and accurate in their assessments of their reasoning performance in the Poverty condition despite performing equally across the reasoning tasks (Klayman et al., 1999). As in Klayman et al. (1999), comparable measures of reasoning performance and confidence estimates were created by (a) calculating actual performance as the percentage of answers that were correct in a given condition and (b) averaging confidence percentage estimates within a condition. In other words, comparisons between actual performance and confidence estimates within a condition were conducted by comparing the percentage of questions answered correctly to the average percentage of

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3 confidence level for that condition. In this way, a participant who answered about 60% of the
4 questions correctly and, on average, reported a confidence level of 60% is considered to be
5 relatively more accurate in their self-evaluations than a participant who answered 60% of the
6 questions correctly and, on average, reported a confidence level of 80%.
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13 Participants' reasoning performance in the Temperature and Poverty conditions did not
14 significantly differ across the conditions (Actual Performance: Temperature, \underline{M} = 62.1%, \underline{SD} =
15 5.6%; Poverty, \underline{M} = 65.9%, \underline{SD} = 8.3%; $t(15) = 1.30$, ns) but did exceed chance (one sample t-
16 test: Temperature $t(15) = 8.6$, $p < .05$; Poverty $t(15) = 7.7$, $p < .05$). The two domains did not
17 differ in actual difficulty and participants performed the tasks significantly better than if they
18 were guessing.
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28 However, participants' confidence estimates were significantly different across
29 conditions (Confidence Estimate: Temperature, \underline{M} = 73.3%, \underline{SD} = 5.3%; Poverty, \underline{M} = 70.5%,
30 \underline{SD} = 6.3%, $t(15) = 3.1$, $p < .05$). Furthermore, participants were overconfident about their
31 reasoning ability in the Temperature condition because their confidence estimates significantly
32 differed from actual performance ($t(15) = 5.1$, $p < .05$) but were accurate in the Poverty
33 condition because there was no significant difference between their actual performance and
34 confidence estimate ($t(15) = 1.9$, $p > .05$). Additionally, the degree of difference between actual
35 performance and confidence estimate significantly differed across conditions (Temperature, \underline{M} =
36 11.4%, \underline{SD} = 8.6%; Poverty, \underline{M} = 3.5%, \underline{SD} = 8.4%, $t(15) = 3.6$, $p < .05$). Confidence estimates
37 were almost always somewhat greater than actual performance in the Temperature Condition. In
38 contrast, confidence estimates in the Poverty condition were centered closer to "0", that is, very
39 little discrepancy between actual performance and confidence estimate.
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55 Follow up analyses clarified that (a) average confidence did not predict actual
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3 performance in either domain, (b) participants were more confident on trials they got correct than
4 those they got incorrect in both domains, and (c) the discrepancy between confidence and actual
5 performance was present for both correct and incorrect trials in the Temperature domain.
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10 Overconfidence could not merely be equated with high confidence in either domain; there was
11 no correlation between average Confidence and actual performance (Poverty: $r = -.17$, $p < .05$;
12 Temperature: $r = -.01$, $p < .05$). Although no feedback was given, participants demonstrated
13 sensitivity to which trials they got correct. Confidence Estimates were significantly greater for
14 correct trials than for incorrect trials in both domains (Poverty Confidence-Correct trials: $M =$
15 71.3% , $SD = 5.4\%$; Poverty Confidence-Incorrect trials: $M = 66.2\%$, $SD = 6.2\%$; $t(15) = 5.02$, p
16 $< .05$; Temperature Confidence-Correct trials: $M = 75.1\%$, $SD = 5.4\%$; Temperature Confidence-
17 Incorrect trials: $M = 68.9\%$, $SD = 6.7\%$; $t(15) = 7.3$, $p < .05$). Finally, the discrepancy between
18 confidence and actual performance was significant for both incorrect ($t(15) = 18.7$, $p < .05$) and
19 correct trials ($t(15) = 5.9$, $p < .05$) in the Temperature condition.
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34 Reaction times were significantly different across conditions during the reasoning task
35 (Temperature, $\underline{M} = 2386\text{ms}$, $\underline{SD} = 389\text{ms}$; Poverty, $\underline{M} = 2286\text{ms}$, $\underline{SD} = 412\text{ms}$, $t(15) = 2.5$, $p <$
36 $.05$) but were not significantly different across domains for the Confidence Estimates
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39 (Temperature, $\underline{M} = 962\text{ms}$, $\underline{SD} = 183\text{ms}$; Poverty, $\underline{M} = 1009\text{ms}$, $\underline{SD} = 213\text{ms}$, $t(15) = 1.9$, $p >$
40 $.05$). Participants took longer to reason in the Temperature condition but showed no significant
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47 difference in the amount of time they took to make confidence estimates for each task.

48 Medial prefrontal cortex deactivation occurs for online self-evaluations regardless of domain

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50 Activity in relation to online self-evaluations, that is, Confidence Estimates irrespective
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52 of reasoning task, was examined through a conjunction analysis between (a) the contrast of the
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54 Temperature Confidence Estimate condition in relation to baseline and (b) the contrast of the
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Poverty Confidence Estimate condition in relation to baseline. Similar to the medial prefrontal cortex region found in studies of abstract self-evaluation (e.g., 10 52 2: Kelley et al., 2002; -4 58 -12: Lieberman, Jarcho, & Satpute, 2004; 0, 50, 8 and -9, 50, 0: Macrae et al., 2005; -12 50 -4: Vogeley et al., 2001; -3, 47, 0: Moran et al., 2006; -4 68 -12: Ruby & Decety, 2003), the medial prefrontal cortex (-6 52 -12) significantly deactivated in relation to baseline for confidence estimations across condition (see Figure 1 and Table 1; $t(15) = -3.33$, $p < .05$ and $t(15) = -3.6$, $p < .05$ respectively for Temperature and Poverty). Medial prefrontal cortex deactivation was not significantly different between the Temperature and Poverty Confidence Estimate conditions ($t(15) = -.63$, $p > .05$). Additionally, significant activation was found in the superior and middle frontal gyri, the supplementary motor area, inferior parietal cortex, and lingual gyrus.

Orbitofrontal cortex activity associated with attenuating overconfident bias

Previous research has shown that orbitofrontal damage is associated with overconfident self-evaluations of task performance (Beer et al., 2003; Beer et al., 2006). This research suggests that orbitofrontal cortex activation should be negatively correlated with overconfident self-evaluations. Regions of interest within orbitofrontal cortex that might relate to overconfidence were identified by comparing the Temperature Confidence Estimate condition to the Poverty Confidence Estimate condition. This contrast revealed several activations in the orbitofrontal cortex (see Table 2). Further analyses revealed that (a) One orbitofrontal region (-6 26 -12) negatively predicted overconfidence at the individual level (i.e., predicted a discrepancy between an individual's actual performance and average confidence estimate) and (b) one orbitofrontal region (20 30 -24) was parametrically related to lower confidence estimates for incorrect trials. Orbitofrontal cortex played a role in overconfidence by predicting individuals' degree of overconfidence in the Temperature condition and predicting calibration of confidence after

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3 incorrect trials in both conditions.
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6 The magnitude of each participant's overconfidence bias (the behavioral difference
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8 between confidence estimate and actual performance) was entered as a regressor for the contrast
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10 between Temperature Confidence Estimates and baseline (only significant regions from the
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12 direct contrast between Temperature and Poverty Confidence Estimates were considered). This
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14 analysis showed a significant negative correlation ($r = -.66$, $p < .05$) between overconfidence bias
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16 in the Temperature condition and orbitofrontal cortex activity (BA 11, peak at 8, 28, -10, $p <$
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18 $.005$; see Figure 2B & 2C). This region was significantly activated in comparison to baseline
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20 ($t(15) = 3.6$, $p < .05$). In the Poverty Confidence Estimate condition, participants did not tend to
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22 be overconfident nor did this region of orbitofrontal cortex activate significantly differently than
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24 baseline ($t(15) = 1.13$, $p > .05$) (see Figure 2A). However, for comparison purposes, a correlation
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26 was conducted using an index of overconfidence bias and orbitofrontal cortex parameter
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28 estimates from the Poverty Confidence Estimate condition. This correlation was not statistically
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30 significant ($r = -.39$, $p > .05$) and tended toward significant difference from the correlation in the
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32 Temperature condition ($z = -1.46$, $p = .07$). In the condition designed to elicit overconfidence,
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34 participants who were most likely to recruit their orbitofrontal cortex were the participants who
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36 were most likely to avoid overconfident self-evaluations in that condition.
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44 Another region of orbitofrontal cortex identified in the direct contrast between
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46 Temperature and Poverty Confidence Estimate (BA 11, peak at 20 30 -24; see Figure 3A) was
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48 significantly associated with negative increments in confidence on a trial-by-trial basis in the
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50 Temperature condition (BA 11: peak = 22, 28, -22, $t = 3.54$; see Figure 3B-C). Further analysis
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52 of this region's parameter estimates across conditions revealed that this effect was driven by
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54 modulation of confidence estimates following incorrect trials in the Temperature condition (see
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3 Figure 3C). The Temperature Confidence Incorrect condition showed a stronger parametric
4 effect compared to the Temperature Confidence Correct parametric regressor ($t(15) = 2.2, p <$
5 $.05$) and tended toward significant difference compared to the Poverty Confidence Incorrect
6 parametric regressor ($t(15) = 1.9, p = .07$). This region's relation to confidence level did not
7 significantly differ across the regressors from the Poverty condition ($t(15) = .70, p > .05$).
8
9 Furthermore, the Temperature-Confidence Estimate for Incorrect trials was the only beta that
10 was significantly different than zero ($t(15) = 4.5, p < .05$: Temperature Confidence-Correct: $t(15)$
11 $= -1.35$; Poverty Confidence Incorrect $t(15) = 1.6$: Poverty Confidence Incorrect: $t(15) = 1.2$).
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13 This region of orbitofrontal cortex was down modulated by increments of overconfidence on
14 incorrect trials and tended to show its strongest parametric relation in the Temperature
15 Confidence Incorrect condition.
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29 The contrast between Confidence estimates in the Poverty condition and the Temperature
30 condition found significant activation in the frontal lobes, parietal cortex, fusiform gyrus, lingual
31 gyrus and visual areas (see Table 2).
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36 Reasoning in the overconfident domain engages regions associated with memory retrieval

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39 Although the purpose of the study was to examine neural activation in relation to online
40 self-evaluation rather than actual performance on the self-evaluation task, exploratory analyses
41 contrasted Reasoning in the Temperature domain to the Poverty domain (see Table 3). This
42 analysis showed significant activation in regions associated with memory retrieval effort
43 including anterior prefrontal cortex (BA 8), bilateral prefrontal cortex (BA 6/9/44/45/46) and left
44 parietal cortex (BA 7/40) as well as temporal cortex (BA 20/37) (see Figure 4) (Nyberg, Cabeza,
45 & Tulving, 1996; Skinner & Fernandes, 2007; Wheeler & Buckner, 2004). Conversely,
46 reasoning in the Poverty domain was associated with temporal cortex regions (BA 21/23) as well
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3 as cingulate and paracingulate regions (BA 23, 10).
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5 6 DISCUSSION 7

8 The current study moves beyond the abstract self-evaluation paradigms typically used in
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10 neural investigations of self-processing and examines the neural systems that support online self-
11
12 evaluations and their biases. Similar to the robust relations between medial prefrontal cortex and
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14 self-evaluations of general personality traits (e.g., Kelley et al., 2002; Macrae et al., 2004; Moran
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16 et al., 2006; Ochsner et al., 2005), significant medial prefrontal cortex changes were associated
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18 with online self-evaluations of task performance. However, medial prefrontal cortex activity did
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20 not predict self-evaluation overconfidence (i.e., a discrepancy between actual performance and
21
22 confidence). Instead, orbitofrontal cortex activity was negatively associated with overconfidence.
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24 Consistent with lesion research (Beer et al., 2006), orbitofrontal cortex activity was associated
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26 with suppressing overconfident online self-evaluations at the individual and trial level of
27
28 analysis. These findings have a number of implications for understanding the roles of the medial
29
30 prefrontal cortex and orbitofrontal cortex in self-evaluation processes.
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35 36 Medial prefrontal cortex and online self-evaluation 37

38 The current study found that Confidence Estimates across conditions modulated a region
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40 of medial prefrontal cortex identified in previous studies of self-evaluation (Kelley et al., 2002;
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42 Lieberman, Jarcho, & Satpute, 2004; Macrae et al., 2005; Vogeley et al., 2001; Moran et al.,
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44 2006; Ruby & Decety, 2003). Although future research is needed to more robustly understand
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46 this finding, it raises two possibilities for the role of the medial prefrontal cortex in online self-
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48 evaluation. An integration of findings from the current study and previous neural research
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50 suggests that the medial prefrontal cortex supports a psychological process that is (a) common to
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3 self-evaluation of abstract traits and online behavior or (b) that abstract self-representations may
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5 be factored into online self-evaluations under certain conditions.
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8 The relation between medial prefrontal cortex and abstract self-representations is
9
10 theorized to reflect the medial prefrontal cortex's role in representing or accessing relevant
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12 internal cues such as whether personality traits are strongly or weakly associated with self (e.g.,
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14 Kelley et al., 2002; Macrae et al, 2004; Moran et al., 2006). This explanation is consistent with
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16 the view in the field of judgment science that online self-evaluations of confidence are made by
17
18 monitoring the strength of internal signals generated by reasoning efforts (Tversky & Kahneman,
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20 1974; Klayman, 1995). Just as the medial prefrontal cortex is important for monitoring internal
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22 signals about the association strength between 'self' and a personality trait, it may be important
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24 for monitoring the strength of internal signals associated with one's reasoning process about each
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26 forced-choice option.
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31 A second possibility is that the medial prefrontal cortex changes in the current study
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33 reflect online self-evaluation which partly relies on abstract self-representations. Participants did
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35 not know the exact values of the forced-choice options and did not receive feedback on whether
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37 they had answered correctly. In the absence of explicit feedback as a mechanism for estimating
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39 task performance in both conditions, the participants may have looked for additional information
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41 sources to make their confidence estimates. In this case, participants may have partially factored
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43 in general representations of their reasoning abilities. This possibility is consistent with a
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45 metamemory study which found an association between medial prefrontal cortex deactivation
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47 and low self-confidence in performance regardless of whether performance was correct (-3 57 -
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49 12: Chua et al., 2006). In other words, this region is associated with general uncertainty and is
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51 not modulated by whether that uncertainty is warranted by poor performance. The metamemory
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3 study used a task that is distinct from the task in the current study. Participants had to determine
4 whether they could recollect stimuli they had recently learned (Chua et al., 2006). In contrast,
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6 participants in the current study had to estimate how well they had reasoned through choices for
7
8 which they had not learned the exact information; they were not estimating their ability to
9
10 remember a specific fact. The consistent relation between medial prefrontal cortex and low levels
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12 of confidence regardless of actual performance across a diverse set of tasks suggests that the
13
14 medial prefrontal changes generalize to conditions of uncertainty in estimating online behavior
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16 (rather than something specific to a particular task or discrepancy from actual performance).
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18 Therefore, in light of the research on medial prefrontal cortex and abstract self-representation
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20 (e.g., Kelley et al., 2002; Macrae et al., 2004; Moran et al., 2006; Ochsner et al., 2005), the
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22 medial prefrontal cortex deactivation associated with online self-evaluation may reflect people's
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24 need to draw on general representations of self ("Am I generally good at this kind of task?")
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26 when they do not feel they have enough information from the task itself to judge their
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28 performance.
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36 Orbitofrontal cortex activation attenuates overconfident online self-evaluations for individuals
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38 and incorrect trials
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41 The current study found that orbitofrontal cortex activation predicted who was likely to
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43 be less biased in the Temperature domain as well as predicted appropriate confidence calibration
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45 after incorrect trials in both domains. Previous neural research has shown that orbitofrontal
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47 cortex is associated with (a) accurate evaluations in some domains but not others (Beer et al.,
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49 2003; Beer et al., 2006), (b) individual differences in accuracy when accuracy requires the
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51 suppression of salient but irrelevant information (e.g., Beer, Shimamura, & Knight, 2004;
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53 DeMartino et al., 2006) and (c) parametric modulation of accuracy on a trial-by-trial basis in
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3 metamemory tasks (Schnyer, Nicholls, & Verfaellie, 2005). For example, orbitofrontal cortex is
4 associated with accurate (i.e., rational) gambling decisions when they require the suppression of
5 salient but irrelevant valenced aspects of the decision options. Individual differences in
6 orbitofrontal cortex activity predict increased rationality, that is, less susceptibility to irrelevant
7 information about guaranteed wins or losses for gambles that are monetarily equivalent
8 (DeMartino et al., 2006). Orbitofrontal cortex activity also parametrically tracks accurate
9 predictions of one's ability to recall recently learned information (Schnyer, Nicholls, &
10 Verfaellie, 2005).
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22 In the current study, overconfidence should have been especially elicited when
23 participants overemphasized the value of their information retrieval efforts for their successful
24 task performance. The Temperature Reasoning condition should have been associated with
25 greater memory retrieval efforts than the Poverty Reasoning condition. Consistent with this
26 interpretation, participants took longer to make a decision in the Temperature condition and
27 activated neural regions that have been associated with memory retrieval in other paradigms. In
28 contrast, the reasoning in the Poverty condition occurred more quickly and elicited activation in
29 neural regions associated with the "default mode of activation" (Gusnard & Raichle, 2001)
30 suggesting that reasoning judgments may have been characterized by some kind of default
31 heuristic and less by memory retrieval efforts. In this case, the orbitofrontal cortex region that
32 was modulated by individual differences in bias in the Temperature condition may have reflected
33 how much individuals strove to calibrate the value of the retrieved information ("Did all of those
34 facts really help me answer the question?"). Such calibration should have played less of a role in
35 the Poverty condition which was characterized by a different reasoning approach. However, as
36 the behavioral results show, participants were somewhat sensitive to when they reasoned
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3 incorrectly in both conditions. The orbitofrontal cortex region that exhibited down modulation by
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5 confidence levels after incorrect trials may therefore reflect trial-by-trial success at confidence
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7 calibration when performance is poor.
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10 Conclusion

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12 More research is needed to fully understand the brain systems that support the collection
13
14 of psychological processes that shape the self beyond abstract representation (e.g., Beer, 2007,
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16 Cunningham, Raye, & Johnson, 2005). The present research deepens our understanding frontal
17
18 lobe involvement in online self-evaluation as well as self-evaluation bias that arises from
19
20 systematic judgment errors. Regions of medial prefrontal cortex that have previously been
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22 associated with abstract self-evaluation were engaged by tasks requiring online self-evaluations
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24 in the current study. Future research is needed to more fully understand the role of medial
25
26 prefrontal cortex in online self-evaluation. Studies that include a self-reference localizer task and
27
28 an online self-evaluation task or contrast conditions of online self-evaluation that explicitly differ
29
30 in certainty are needed to strengthen the claim that the region of medial prefrontal cortex found
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32 in this current study truly relates to both kinds of self-evaluation and predicts certainty in online
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34 self-evaluation. Another remaining question is whether the medial prefrontal cortex activates for
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36 online self-evaluation tasks because abstract and online evaluations share a common
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38 psychological mechanism or because abstract self-representations may be used for online
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40 evaluation in situations of uncertainty.
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48 Furthermore, more research needs to examine the systematic biases that affect self-
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50 evaluation at the abstract and online level of analysis. The current study found that subregions
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52 within the orbitofrontal cortex tracked bias across individuals and within incorrect trials which is
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54 consistent with its role in other paradigms (e.g., DeMartino et al., 2006; Schnyer, Nichols &
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3 Verfaellie, 2005). Future research is needed to better understand the multiple roles that
4
5 orbitofrontal cortex plays in attenuating bias. Another line of inquiry might more systematically
6
7 examine self-evaluation bias arising from self-esteem defense compared to systematic judgments
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9 errors. Although other studies have associated bias with executive function regions such as
10
11 anterior cingulate cortex (Moran et al., 2006; Sharot et al., 2007), the current study suggests that
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13 self-evaluation biases arising from systematic judgment errors may reflect a failure to engage
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15 executive function regions such as the orbitofrontal cortex.
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Table 1. Group Activations and Deactivations Associated With the Conjunction of the Confidence Estimates Across the Temperature and Poverty Conditions

| Region of Activation (Right/Left) | Brodmann | Coordinates | | | t Value |
|--------------------------------------|----------|-------------|-----|-----|---------|
| | | x | y | z | |
| <i>Deactivation</i> | | | | | |
| Medial prefrontal cortex | 10 | -6 | 52 | -6 | 4.16 |
| <i>I</i> | | | | | |
| <i>Activations</i> | | | | | |
| Superior Frontal Gyrus (R) | 9 | 30 | 46 | 42 | 7.30 |
| Middle Frontal Gyrus (R) | 46 | | | | |
| Middle Frontal Gyrus (L) | 9 | -38 | 44 | 42 | 5.12 |
| Superior Frontal Gyrus (L) | 6 | -20 | -2 | 56 | 6.52 |
| Superior Frontal Gyrus (R) | 6 | 24 | 4 | 58 | 5.74 |
| Supplementary Motor Area (L) | 6 | -4 | 10 | 54 | 5.19 |
| Inferior Parietal Cortex (L) | 40 | -36 | -36 | 42 | 5.80 |
| Lingual Gyrus (R/L) | 17 | -22 | -76 | -14 | 9.96 |

Note: Thresholded at $p < .005$, uncorrected, $k = 15$ voxels. Approximate Brodmann's areas are shown from the Automated Anatomical Labelling Map (AAL).

Table 2. Group Activations Associated With Overconfident Estimates in Comparison to Relatively Accurate Confident Estimates

| Region of Activation (Right/Left) | Brodmann | Coordinates | | | t Value |
|--------------------------------------|----------|-------------|-----|-----|---------|
| | | x | y | z | |
| <u>Temperature > Poverty</u> | | | | | |
| Orbitofrontal Cortex (R/L) | 11 | -6 | 26 | -12 | 6.17 |
| Orbitofrontal Cortex (R) | 11 | 12 | 50 | -12 | 4.20 |
| Orbitofrontal Cortex (R) | 11 | 20 | 30 | -24 | 4.34 |
| Middle Temporal Gyrus (L) | 21 | -68 | -28 | 0 | 6.29 |
| Calcarine (L) | 17 | -8 | -88 | 20 | 4.31 |
| Cuneus (L) | 18 | -8 | -92 | 6 | 4.04 |
| <u>Poverty > Temperature</u> | | | | | |
| Superior Frontal Gyrus (R) | 6 | 14 | 14 | -4 | 6.71 |
| Inferior Frontal Cortex (L) | 47 | -40 | 36 | -18 | 3.35 |
| Supplementary Motor Area (L) | 6 | -6 | -4 | 64 | 5.72 |
| Precentral Gyrus (R) | 6 | 30 | -12 | 66 | 5.57 |
| Parietal Cortex (L) | 7 | -36 | -40 | 38 | 4.46 |
| Parietal Cortex (L) | 7 | -22 | -56 | 46 | 4.26 |
| Fusiform Gyrus (L) | 37 | -38 | -52 | -18 | 3.34 |
| Fusiform Gyrus (L) | 37 | -26 | -44 | -18 | 3.72 |
| Fusiform Gyrus (R) | 37 | 26 | -50 | -16 | 3.39 |
| Supplementary Motor Area (R) | 8 | 2 | 20 | 48 | 3.56 |
| Lingual Gyrus (L) | 19 | -28 | -64 | 0 | 3.51 |

| | | | | | | |
|---|----------------------|----|-----|-----|----|------|
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | Occipital Cortex (L) | 37 | -48 | -68 | -8 | 3.49 |
| 4 | | | | | | |
| 5 | Parietal Cortex (R) | 7 | 26 | -68 | 42 | 3.38 |
| 6 | | | | | | |
| 7 | | | | | | |
| 8 | Precuneus (R) | 5 | 12 | -60 | 56 | 5.55 |
| 9 | | | | | | |

Note: Thresholded at $p < .005$, corrected for areas significantly activated in the main effect of either condition, $k = 15$ voxels. Approximate Brodmann's areas are shown from the Automated Anatomical Labelling Map (AAL).

For Review Only

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Table 3. Group Activations Associated With the Reasoning Tasks

| Region of Activation (Right/Left) | Brodmann | Coordinates | | | t Value |
|--------------------------------------|----------|-------------|-----|-----|---------|
| | | x | y | z | |
| <u>Temperature > Poverty</u> | | | | | |
| Inferior Temporal Gyrus (R) | 20 | 58 | -44 | -26 | 4.06 |
| Fusiform Cortex (L) | 37 | -38 | -50 | -16 | 5.85 |
| Operculum (L) | 44 | -32 | 16 | 28 | 4.26 |
| Inferior Frontal Gyrus (L) | 45 | -34 | 32 | 14 | 3.66 |
| Supplementary Motor Cortex (R) | 8 | 4 | 22 | 54 | 4.31 |
| Supplementary Motor Cortex (L) | 6 | -6 | -4 | 64 | 5.06 |
| Superior Frontal Gyrus (L) | 8 | -24 | 22 | 62 | 5.12 |
| Superior Frontal Gyrus (R) | 8 | 32 | 8 | 66 | 5.07 |
| Superior Frontal Gyrus (L) | 6 | -26 | 2 | 68 | 5.00 |
| Middle Frontal Gyrus (L) | 9 | -36 | 34 | 48 | 5.02 |
| Middle Frontal Gyrus (R) | 46 | 40 | 36 | 42 | 3.28 |
| Precentral Gyrus (L) | 6/44 | -50 | -4 | 50 | 5.09 |
| Precentral Gyrus (R) | 44 | 40 | 4 | 30 | 3.23 |
| Postcentral Gyrus (L) | 48 | -46 | -6 | 18 | 3.74 |
| Parietal Cortex (R) | 7 | 26 | -64 | 38 | 6.78 |
| Parietal Cortex (L) | 19 | -28 | -76 | 24 | 5.40 |
| Occipital Cortex (R) | 19 | 36 | -82 | -4 | 6.50 |
| <u>Poverty > Temperature</u> | | | | | |
| Middle Temporal Gyrus (R) | 22 | 52 | -46 | 18 | 5.26 |

| | | | | | | |
|----|---------------------------------|----|-----|-----|----|------|
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | Middle Temporal Gyrus (L) | 21 | -68 | -28 | 2 | 4.78 |
| 5 | | | | | | |
| 6 | Superior Temporal Gyrus (L) | 22 | -52 | -22 | 12 | 3.96 |
| 7 | | | | | | |
| 8 | | | | | | |
| 9 | Cingulate Cortex (L/R) | 23 | 0 | -18 | 40 | 5.19 |
| 10 | | | | | | |
| 11 | Cingulate Cortex (R) | 24 | 2 | 14 | 38 | 3.72 |
| 12 | | | | | | |
| 13 | | | | | | |
| 14 | Medial Frontal Cortex (L) | 9 | -6 | 48 | 46 | 4.87 |
| 15 | | | | | | |
| 16 | Medial Frontal Cortex (L) | 10 | -8 | 56 | 26 | 4.66 |
| 17 | | | | | | |
| 18 | | | | | | |
| 19 | Ventromedial Frontal Cortex (R) | 10 | 10 | 48 | -8 | 4.53 |
| 20 | | | | | | |
| 21 | Cuneus (L) | 18 | -8 | -86 | 18 | 6.88 |
| 22 | | | | | | |
| 23 | | | | | | |
| 24 | Calcarine (L) | 19 | -24 | -62 | 8 | 4.58 |
| 25 | | | | | | |

Note: Thresholded at $p < .005$, corrected for areas significantly activated in the main effect of either condition, $k = 15$ voxels. Approximate Brodmann's areas are shown from the Automated Anatomical Labelling Map (AAL).

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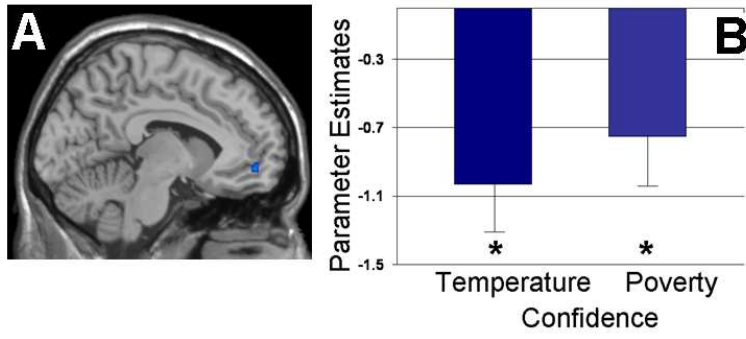


Figure 1. Medial prefrontal cortex deactivation (peak BA 10, $x = -5$) associated with online self-evaluations of confidence. (A) Conjunction analysis of Confidence Estimates in relation to Baseline. (B) Parameter Estimates of medial prefrontal cortex activation in relation to baseline. *indicates parameter estimates significantly different than baseline.
254x190mm (96 x 96 DPI)

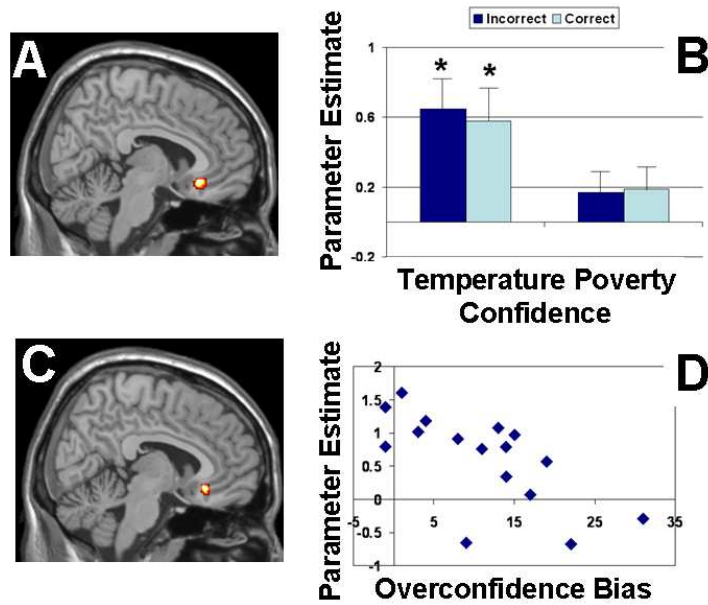


Figure 2. Orbitofrontal cortex activation (peak BA 11, $x = 7$) associated with overconfident self-evaluations. (A) Contrast between Confidence Estimates in the Temperature condition and the Poverty condition (collapsed across correctness of reasoning trial). (B) Parameter Estimates of orbitofrontal cortex activation for each confidence condition in relation to baseline. *indicates parameter estimates significantly different than baseline. (C) Regression analysis with magnitude of overconfident beliefs. (D) Parameter Estimates in the orbitofrontal cortex in relation to magnitude of overconfident beliefs for the Temperature Confidence Estimate condition.

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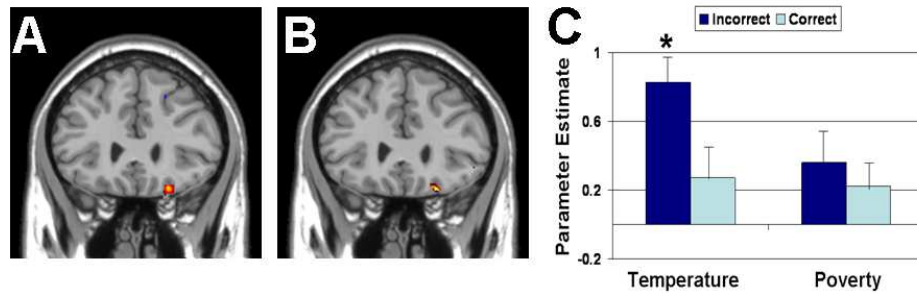


Figure 3. Orbitofrontal cortex activation (peak BA 11, $y = 28$) that is down modulated by increasing levels of confidence. (A) Contrast between Confidence Estimates in the Temperature condition and the Poverty condition. (B) Parametric regressor that is negatively associated with Confidence Level in the Temperature Condition. (C) Parameter Estimates of orbitofrontal cortex activation for each confidence condition in relation to baseline. *indicates parameter estimates significantly different than baseline.

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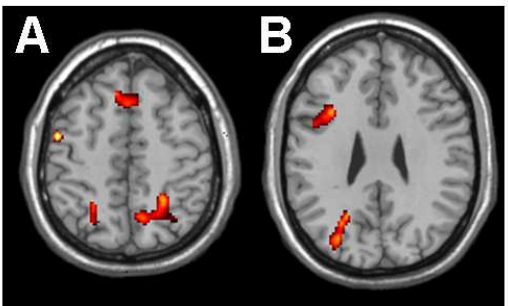


Figure 4. Left lateral prefrontal and parietal regions associated with reasoning in the condition associated with overconfident self-evaluations (Temperature) compared to reasoning in the condition associated with accurate self-evaluations (Poverty). (A) $z = 50$; (B) $z = 28$.
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